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# Applications of Random Matrix Theory on Fiber Optical Communications

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## Introduction

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- Need for high speed infrastructure

Bandwidth (BW) hungry technologies emerging (~2 dB increase per year 1

# Introduction

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- What is Optical MIMO?

Just like in wireless domain, in optical we can use parallel transmission paths to greatly enhance the capacity of the system.

- First paper on Optical MIMO: H.R. Stuart, “Dispersive multiplexing in multimode optical fiber,” Science 289(5477), 281–283 (2000)
- Multi-Mode Fibers (MMF): Use multiple modes to carry information
- Multi-Core Fibers (MCF): Utilize different optical paths of different cores in the same fiber (within the same cladding)

# Introduction

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Problem: Crosstalk phenomenon arises

Crosstalking between adjacent cores in MCF

Light beam scattering resulting in crosstalking in MMF

Due to :

- Extensive fiber length
- Bending of fiber
- Limited area with multiple power distributions
- Light beam scattering
- Non-linearities

# Introduction

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## System Model

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Consider a single segment N- F K D Q Q H O O R V V O H V W<sub>t</sub> R S W L F D O receiving channels coherently. T<sub>2N</sub> × 2N scattering matrix is

$$S = \begin{pmatrix} r_t & t & 0 \\ \langle r_t \rangle_T & r_r & \rangle \\ \langle t \rangle_T & r_r & \rangle \end{pmatrix} \quad (S=S^T)$$

Only  $t$  ( Haar-distributed  $t = tt^\dagger = I_N$ ) sub-matrix is of interest (is ~0).

Generally  $N_r, N_t < N$ :

- Other channels may be used from different, parallel transceivers
- Modelling of loss: additional energy lost during propagation

# System Model

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Only  $t$  ( Haar-distributed  $t^\dagger t = tt^\dagger = I_N$ ) sub-matrix is of interest (is  $\sim 0$ ).

$$t \begin{bmatrix} & & N_t \\ & \overbrace{\quad\quad\quad}^{\text{N}_r} & \\ a_{11} & & t_{1N} & 0 \\ \ll & & & \\ \ll & & & \\ t_{N1} & & t_{NN} & 1 \end{bmatrix}$$

Define  $N_t \times N_r$  matrix  $U$  as

$$U = P_{N_t}^T S P_{N_r}$$

- where  $P$  projection operator:

$$P_{N_t} \begin{bmatrix} & & 0 & N_t \\ & \overbrace{\quad\quad\quad}^{N_t} & & \\ 0 & & 1 & N-N_t \end{bmatrix}$$



# Information Metrics

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- Outage Probability:

$$P_{\text{out}}(r) = \text{Prob} I_N \leq N_t r \quad E_U > N_t r - I_N(U)$$

- Optimal: Assumes infinite codewords

What is the price of finite codelengths

- Gallager error bound for M-length code:

$$P_{\text{err}}(r) \leq E_U \exp \left[ -M \max_{0 \leq k \leq d} \left( \frac{N_t}{N_r} kr - k \log \det \left[ \frac{U}{1-k} U^\top U \right] \right) \right]$$

# Coulomb– Gas Analogy

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- Joint probability distribution of eigenvalues of  $\mathbf{U}$
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## Generalized MP equation

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- Use Tricomi theorem to calculate  $p(x)$
- Obtain closed form expr. for energy  $S[p]$
- E.g. ! ;  $n>0$

$$p(x) = \frac{\sqrt{(b-x)(x-a)}}{2\pi(1-\alpha)} \cdot \frac{n(1-\alpha)}{(1-x)\sqrt{(1-a)(1-b)}} \cdot \frac{E_1}{x\sqrt{ab}} \cdot$$

- $a, b, k$  calculated from

$$\begin{aligned} p(b) &= p(a) = 0 \\ r &= \int_a^b 3xp(x) \log 1 - \alpha \\ 1 &= \int_a^b 3xp(x) \end{aligned}$$

# Generalized MP equation

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- In general

	$S_{0b}$	$S_{ab}$	$S_{01}$	$S_{a1}$
	$a=0 b < 1$	$a > 0 b < 1$	$a=0 b=1$	$a > 0 b=1$
$n=0;$	$r < r_{c1}$	--	$r_{c1} < r < r_{c2}$	$r > r_{c2}$
$n > 0;$	$r < r_{c3}$	$r > r_{c3}$	--	--
$n=0; > 1$	--	$r < r_{c4}$	--	$r > r_{c4}$
$n > 0; > 1$	--	all $r$	--	--

- Phase transitions ( $a=0$  to  $a>0$ ) are third order
  - discontinuous  $S'''(r_c)$
  - Relation to Tracy-Widom(?)

## Distribution Density of r

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Finally

$$f(r) \propto N_t \frac{e^{-N_t^2 S(r) - S(r_{\text{erg}})}}{\sqrt{2 S_{\text{erg}}}}$$

– where

$$S_{\text{erg}} = \frac{1}{S''(r_{\text{erg}})} \log \left( \frac{\sqrt{1 + b_0} \sqrt{1 + b_0}^2}{\sqrt{4 \sqrt{1 + b_0} \sqrt{1 + b_0}}} \right)$$

is the variance at the peak of the distribution.

## Numerical Simulations ( $\gamma \neq 1$ and $n_0 > 0$ )

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The LD approach demonstrates better behavior, following Monte Carlo.

## Numerical Simulations ( $\lambda \neq 0$ and $n_0 > 0$ )

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The LD approach demonstrates better behavior, following Monte Carlo.

For small values of  $N$ ,  
 $N_t$  and  $N_b$ , the  
discrepancy is minimal

# Finite Block-Length Error Probability

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- Here we need
  - where
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## Numerical Simulations ( $\gamma \neq 0$ and $n_0 = 0$ )

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## More Realistic Channel

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- Chaotic cavity picture:

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## More Realistic Channel

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- Mutual Information metric:

$$I(G) = \log \det \mathbf{P}_r \mathbf{H}_0 \mathbf{G} \mathbf{i} + \mathbf{P}_t \mathbf{P}_t^H \mathbf{H}_0 \mathbf{G} \mathbf{i} + \mathbf{P}_r^H$$

- $I$  – Distribution (mean+variance) can be obtained using replica theory

$$E[\mathbf{I}(G)] @ I_1 + I_2$$

$$I_1 = \log \det \mathbf{P}_r \mathbf{U} \mathbf{G} \mathbf{J}(1 - \mathbf{J}) \mathbf{H}_0 \mathbf{G} \mathbf{J}(1 - \mathbf{J}) \mathbf{H}_0 \mathbf{P}_r^H$$
$$= \frac{1}{N} \text{Tr} \left[ \frac{\mathbf{J}(1 - \mathbf{J})}{\mathbf{U} \mathbf{G} \mathbf{J}(1 - \mathbf{J}) \mathbf{H}_0 \mathbf{G} \mathbf{J}(1 - \mathbf{J}) \mathbf{H}_0 \mathbf{P}_r^H} \right]^a$$
$$I_2 = p \frac{1}{N} \text{Tr} \left[ \frac{\mathbf{J}(\mathbf{H}_0 \mathbf{G} \mathbf{J})}{\mathbf{U} \mathbf{G} \mathbf{J}(1 - \mathbf{J}) \mathbf{H}_0 \mathbf{G} \mathbf{J}(1 - \mathbf{J}) \mathbf{H}_0 \mathbf{P}_r^H} \right]^a$$
$$= t \frac{1}{N} \text{Tr} \left[ \frac{\mathbf{J}(\mathbf{U} \mathbf{G} \mathbf{J})}{\mathbf{U} \mathbf{G} \mathbf{J}(1 - \mathbf{J}) \mathbf{H}_0 \mathbf{G} \mathbf{J}(1 - \mathbf{J}) \mathbf{H}_0 \mathbf{P}_r^H} \right]^a$$

- $I_2$  same with!
- also expressions for variance

# Numerical Simulations

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